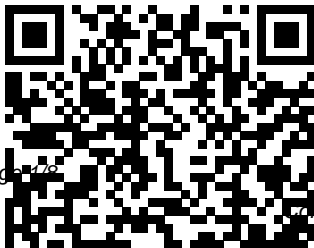

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24799 July 2018 JEL No.
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enforcement. After briefly laying
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evasion, it focuses on recent
empirical contributions.

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resources) 3. IC3 CA Code
of ethics declaration
(independence) 4. IC4 CA
Code of ethics conclusion 5.
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- IT ...

What are Working Papers?

Material that auditor
prepares in connection with
performance of the audit

Record of Audit

Procedures Performed

Relevant Audit Evidence

Obtained Conclusions the
Auditor Reached Also
termed as “ Audit

Documentation ” Audit

File One or more folders
or other storage media

Physical or Electronic

Form

[CH231300 - Compliance Handbook - HMRC internal manual - GOV.UK](#)

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[Audit Working Papers | Importance | Objectives | Contents](#)

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“ Working papers must include audit programme, queries, explanations given for the queries, schedules of important items like depreciation, inventories, confirmation from third parties, certificates issued by the management, banks, etc ” Thus, the standard requires an auditor to maintain adequate working paper.

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**COMPLIANCE
MONITORING**

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Compliance Working Papers

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Definition: Audit working papers refer to the documents that prepare by or use by auditors as part of their works. Those documents include the

summary of the client ' s nature of the business, business process flow, audit program, documents or information obtained from the client as well as audit testing documents.